Update on Extracranial Carotid Stenosis

Tilman Reiff, Christoph Gumbinger, Sibu Mundiyanapurath and Peter A Ringleb

Department of Neurology, University Hospital Heidelberg, Heidelberg, Germany

DOI: http://doi.org/10.17925/ENR.2016.11.01.18

Abstract

Carotid stenosis is a risk factor of ischaemic stroke and has an increasing prevalence with age. Stroke risk under optimised medical therapy, as well as recommendations of carotid artery endarterectomy/stenting, as therapy in high risk carotid stenosis, are discussed in consideration of recent research results.

Keywords

Carotid artery stenosis, carotid endarterectomy, angioplasty and stenting, optimal medical treatment, stroke prevention.

Disclosure: Tilman Reiff, Christoph Gumbinger and Sibu Mundiyanapurath have nothing to disclose in relation to this paper. Peter A Ringleb is a member of the steering committee of SPACE-2. This article is a short opinion piece and has not been submitted to external peer reviewers. No funding was received for the publication of this article.

Open Access: This article is published under the Creative Commons Attribution Noncommercial License, which permits any non-commercial use, distribution, adaptation and reproduction provided the original author(s) and source are given appropriate credit.

Received: 2 March 2016 Published Online: 20 April 2016 Citation: European Neurological Review, 2016;11(1):18–9

Correspondence: Peter A Ringleb, Department of Neurology, University Hospital Heidelberg, Heidelberg, Germany E:Peter.Arthur.Ringleb@med.uni-heidelberg.de

About 20% of all brain infarcts are caused by atherothrombotic macroangiopathy.^{1,2} The prevalence of atherosclerotic carotid disease increases with age. Epidemiological data show a prevalence of 3.1% for men and 0.9% for women in individuals with ≥70% North American Symptomatic Carotid Endarterectomy Trial (NASCET) criteria carotid stenosis who were 80 years or older. For ≥50% carotid stenosis, prevalence is 7.5% for men and 5.0% for women.³ A pooled analysis of the general population indicates a prevalence of ≥70% carotid stenosis of 1.7% (95% confidence interval [CI] 0.7-3.9%).4 Due to improvements in medical therapy, the annual ipsilateral stroke risk of asymptomatic carotid stenosis ≥50% has decreased within the last decades from about 2% to 0.5–1.0%. 5-7 Data are inconsistent as to whether the grade of stenosis (>70-99%) increases stroke risk.8-10 Risk of recurrent stroke in recently symptomatic carotid stenosis is reported with 6% in the first month and about 20% within the first year.¹¹ Other data show a higher risk (21% in the first two weeks and 32% within 12 weeks). 12 More recent studies indicate a high risk (6-21%) of recurrent stroke in the first 72 hours after initial stroke symptoms, but a lower risk of 11.5% at 14 days, and 18.8% at 90 days. 10,13,14 Quite low rates of recurrent stroke were seen under aggressive medical therapy with acetylsalicylic acid, clopidogrel and simvastatin (2.5% within 90 days).15 Carotid atherosclerosis is also a marker for high risk of myocardial infarction and vascular death.¹⁶ Considering all these facts, patients with carotid stenosis should receive intensive medical therapy with statins and antiplatelets; treatment of hypertension and diabetes; they should follow a healthy diet, and perform lifestyle modifications. 17-18

Discussions about invasive treatment of asymptomatic carotid stenosis are currently controversial. The recently published Asymptomatic Carotid Surgery Trial-1 (ACT-1) study confirmed that carotid artery stenting (CAS) was non-inferior to carotid endarterectomy (CEA) in a cohort of 1,453 patients below 80 years of age with high-grade (70–99% lumen reduction) asymptomatic carotid artery stenosis.¹⁹ However, due to advances in preventative medicine, it is currently

unclear whether any additional intervention by CEA or CAS actually has a beneficial effect. Interventional treatment may be justified in patients with progressive carotid stenosis and carotid embolism because of unstable plague morphology, reduced cerebrovascular reserve, and the presence of silent embolic infarcts when risk of stroke or death induced by the intervention is ≤3%.⁴ A key issue here is to identify patients who are at a higher risk for ischaemic stroke using imaging techniques. Vulnerable plaques are one of the reasons for an increased stroke risk. Characteristics of vulnerable plagues as intraplague haemorrhage, ruptured fibrous cap and lipid-rich necrotic core can be identified using magnetic resonance imaging (MRI), intraplaque haemorrhage being the most well-studied parameter.²⁰⁻²¹ A new protocol allows an accelerated acquisition time for the detection of intraplaque haemorrhage of five minutes, making it feasible for clinical routine.21 In addition, hypoperfusion caused by carotid stenosis can increase stroke risk and can be assessed by Doppler sonography, computed tomography (CT) or MR-perfusion and positron-emission tomography (PET). 22-24

Burning questions, without recent evidence from randomised trials, are: whether CAS or CEA are still superior to a modern optimal medical therapy (OMT) in the primary prevention of ischaemic stroke in patients with a severe asymptomatic carotid stenosis; and whether CAS is at least non-inferior to CEA in terms of safety and efficacy. To gain data on this topic the investigator-initiated multicentre controlled randomised Stent-protected Angioplasty in Asymptomatic Carotid Artery Stenosis vs. Endarterectomy (SPACE-2) trial started recruiting in 2009. Unfortunately, after a study period of almost five years, the SPACE-2 trial had to be stopped due to low recruitment rates. Other trials exploring the best therapy in asymptomatic carotid artery stenosis are on-going: the Asymptomatic Carotid Surgery Trial 2 (ACST-2) trial comparing CEA with CAS; the European Carotid Surgery Trial 2 (ECST-2) comparing CEA with OMT; and the Carotid Revascularization for Primary Prevention of Stroke (CREST-2) trial, which has a similar design to SPACE-2 study

18 TOUCH MEDICAL MEDIA

design (updated protocol).²⁵⁻²⁷ A planned common database by the Carotid Stenosis Trialists Collaboration including all SPACE-2 data will be a platform for a combined analysis of all current randomised trials on asymptomatic carotid stenosis. This approach aims to give evidence-based answers to the optimal treatment of asymptomatic carotid disease. As medical treatment has led to a substantial decrease in stroke risk, most patients with carotid artery stenosis, especially when asymptomatic, should be treated with medical therapy only. If an intervention is planned, as many patients as possible should be treated within randomised trials.

Symptomatic carotid stenosis with acute neurological deficits within the previous six months, referable to this stenosis has to be treated more aggressively. If the grade of stenosis is \geq 70% and not a near occlusion, treatment with CEA is evidence based and recommended in most guidelines if peri-interventional risk of stroke or death is \leq 6% (level I, grade A). Moreover, patients with \geq 50% stenosis and elevated stroke risk should be treated with CEA. CEA should be performed within two weeks of the last symptomatic event (level I, grade B). Alternatively, guidelines estimate CAS as a treatment option. In general, CAS carries

a higher risk of embolic stroke whereas myocardial infarction is found more frequently in patients treated with CEA. CEA is recommended in older patients (>70 years), severe calcified stenosis or aortic arch, whereas CAS could be chosen in patients with distal carotid stenosis, cardiac comorbidity, radiation-induced stenosis, recurrent carotid stenosis after CEA, or contralateral paresis of recurrent laryngeal nerve. A28-30 CAS seems to be equal to CEA regarding long-term risk for ipsilateral stroke and myocardial infarction. In all cases, patient life expectancy should be at least five years, as the benefit of CEA/CAS emerges from one year after intervention.

Summary

Carotid stenosis has an increasing prevalence with age. CEA in recently symptomatic carotid stenosis ≥70% is an evidence-based therapy in secondary stroke prevention. CAS may be considered as alternative treatment in selected patient groups. Because of improved medical treatment effects, additional benefit of CEA or CAS of asymptomatic carotid stenosis is currently a controversial topic. Hopefully, on-going studies and pooled analyses of these trials will answer the question of how to treat patients with asymptomatic carotid stenosis. ■

- Soler EP, Ruiz VC, Epidemiology and risk factors of cerebral ischemia and ischemic heart diseases: similarities and differences, Curr Cardiol Rev, 2010;6(3):138–49.
- Grau AJ, Weimar C, Buggle F, et al., Risk factors, outcome, and treatment in subtypes of ischemic stroke: the German stroke data bank. Stroke. 2001;32(1):2559–66.
- de Weerd M, Greving JP, Hedblad B, et al., Prevalence of asymptomatic carotid artery stenosis in the general population: an individual participant data meta-analysis, Stroke. 2010;41:1294-7.
- Eckstein HH, Evidence-based management of carotid stenosis: recommendations from international guidelines, J Cardiovasc Surg (Torino), 2012;53(Suppl 1):3–13.
- Marquardt L, Geraghty OC, Mehta Z, Rothwell PM, Low risk of ipsilateral stroke in patients with asymptomatic carotid stenosis on best medical treatment: a prospective, population-based study, Stroke, 2010;41:e11–7.
- Goessens BM, Visseren FL, Kappelle LJ, et al., Asymptomatic carotid artery stenosis and the risk of new vascular events in patients with manifest arterial disease: the SMART study, Stroke, 2007;38:1470–5.
- Abbott AL, Medical (nonsurgical) intervention alone is now best for prevention of stroke associated with asymptomatic severe carotid stenosis: results of a systematic review and analysis, Stroke, 2009;40:e573–83.
- Nicolaides AN, Kakkos SK, Kyriacou E, et al., Asymptomatic internal carotid artery stenosis and cerebrovascular risk stratification, J Vasc Surg, 2010;52:1486–96 e1–5.
- den Hartog AG, Achterberg S, Moll FL, et al., Asymptomatic carotid artery stenosis and the risk of ischemic stroke according to subtype in patients with clinical manifest arterial disease. Stroke. 2013:44:1002–7.
- Johansson E, Cuadrado-Godia E, Hayden D, et al., Recurrent stroke in symptomatic carotid stenosis awaiting revascularization: A pooled analysis, Neurology, 2016;86:498–504
- Rothwell PM, Gutnikov SA, Warlow CP, Reanalysis of the final results of the European Carotid Surgery Trial, Stroke, 2003;34:514–23.
- Fairhead JF, Mehra Z, Rothwell PM, Population-based study of delays in carotid imaging and surgery and the risk of recurrent stroke, Neurology, 2005;65:371–5.
- 13. Marnane M, Ni Chroinin D, Callaly E, et al., Stroke recurrence

- within the time window recommended for carotid endarterectomy. *Neurology*, 2011;77:738–43.
- endarterectomy, Neurology, 2011;77:738–43.
 Ois A, Cuadrado-Godia E, Rodríguez-Campello A, et al., High risk of early neurological recurrence in symptomatic carotid stenosis, Stroke, 2009;40:2727–31.
- Shahidi S, Owen-Falkenberg A, Hjerpsted U, et al., Urgent best medical therapy may obviate the need for urgent surgery in patients with symptomatic carotid stenosis, Stroke, 2013;44:2220–5.
- Chimowitz MI, Weiss DG, Cohen SL, et al., Cardiac prognosis of patients with carotid stenosis and no history of coronary artery disease. Veterans Affairs Cooperative Study Group 167, Stroke, 1994;25:759–65.
- Spence JD, Coates V, Li H, et al., Effects of intensive medical therapy on microemboli and cardiovascular risk in asymptomatic carotid stenosis. Arch Neurol. 2010;67:180–6
- asymptomatic carotid stenosis, Arch Neurol, 2010;67:180–6.
 Spence JD, Management of asymptomatic carotid stenosis, Neurol Clin. 2015;33:443–57
- Rosenfield K, Matsumura JS, Chaturvedi S, et al., Randomized Trial of Stent versus Surgery for Asymptomatic Carotid Stenosis, N Engl J Med, 2016; Feb 17. [Epub ahead of print].
- Ota H, Yarnykh VL, Ferguson MS, et al., Carotid intraplaque hemorrhage imaging at 3.0-T MR imaging: comparison of the diagnostic performance of three T1-weighted sequences, *Radiology*, 2010;254:551–63.
- McNally JS, McLaughlin MS, Hinckley PJ, et al., Intraluminal thrombus, intraplaque hemorrhage, plaque thickness, and current smoking optimally predict carotid stroke, Stroke, 2015;46:84–90.
- Gupta A, Chazen JL, Hartman M, et al., Cerebrovascular reserve and stroke risk in patients with carotid stenosis or occlusion: a systematic review and meta-analysis, Stroke, 2012-43:2884–91
- Mundiyanapurath S, Ringleb PA, Diatschuk S et al., Cortical vessel sign on susceptibility weighted imaging reveals clinically relevant hypoperfusion in internal carotid artery stenosis, Eur J Radiol, 2016;85:534–9.
- Isozaki M, Arai Y, Kudo T, et al., Clinical implication and prognosis of normal baseline cerebral blood flow with impaired vascular reserve in patients with major cerebral artery occlusive disease, Ann Nucl Med, 2010;24:371–7.
- Reiff T, Eckstein HH, Amiri H, et al., Modification of SPACE-2 study design, Int J Stroke, 2014;9:E12–3.

- Rudarakanchana N, Dialynas M, Halliday A, Asymptomatic Carotid Surgery Trial-2 (ACST-2): rationale for a randomised clinical trial comparing carotid endarterectomy with carotid artery stenting in patients with asymptomatic carotid artery stenosis, Eur J Vasc Endovasc Surg, 2009;38:239–42.
- Rubin MN, Barrett KM, Brott TG, Meschia JF, Asymptomatic carotid stenosis: What we can learn from the next generation of randomized clinical trials, JRSM Cardiovasc Dis, 2014;3:2048004014529419.
- Carotid Stenting Guidelines Committee: an Inter-collegiate Committee of the RACP (ANZAN, CSANZ), RACS (ANZSVS) and RANZCR, Guidelines for patient selection and performance of carotid artery stenting, *Intern Med J*, 2011;41:344–7.
- European Stroke Organisation, Tendera M, Aboyans V, et al., ESC Guidelines on the diagnosis and treatment of peripheral artery diseases: Document covering atherosclerotic disease of extracranial carotid and vertebral, mesenteric, renal, upper and lower extremity arteries: the Task Force on the Diagnosis and Treatment of Peripheral Artery Diseases of the European Society of Cardiology (FSC). Fur Heart 1, 2011;32:2851–906.
- Society of Cardiology (ESC), Eur Heart J, 2011;32:2851–906.

 30. Brott TG, Halperin JL, Abbara S, et al., 2011 ASA/ACCF/AHA/
 AANN/AANS/ACR/ASN/CKN/SAMP/SCAU/SIR/SSM/SS/SW/SVS
 guideline on the management of patients with extracranial
 carotid and vertebral artery disease: executive summary. A
 report of the American College of Cardiology Foundation/
 American Heart Association Task Force on Practice Guidelines,
 and the American Stroke Association, American Association of
 Neuroscience Nurses, American Association of Neurological
 Surgeons, American College of Radiology, American Society
 of Neuroradiology, Congress of Neurological Surgeons,
 Society of Atherosclerosis Imaging and Prevention, Society
 for Cardiovascular Angiography and Intervention, Society
 of Interventional Radiology, Society of NeuroInterventional
 Surgery, Society for Vascular Medicine, and Society for Vascular
 Surgery, Circulation, 2011;124:489–532.
- Kernan WN, Ovbiagele B, Black HR, et al., Guidelines for the prevention of stroke in patients with stroke and transient ischemic attack: a guideline for healthcare professionals from the American Heart Association/American Stroke Association, Stroke. 2014;45:2160–236.
- Brott TG, Howard G, Roubin GS, et al., Long-Term Results of Stenting versus Endarterectomy for Carotid-Artery Stenosis, N Engl J Med, 2016 Feb 18. [Epub ahead of print].